

On the Distribution of the Leading Statistics for the Bounded Deviated Permutations

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Sketch the problem

- Original question: In how many ways can one list the numbers $1, 2, \dots, n$ such that apart from the leading element the number k can be placed only if either $k - 1$ or $k + 1$ already appears?
- We are concerned with the bounded deviated permutation within (ℓ, r) , denoted by $S_{n+1}^{\ell, r}$.
- We defined a random variable $X_n = k$ if $\pi_1 = k + 1$ for $\pi = \pi_1 \pi_2 \cdots \pi_{n+1} \in S_{n+1}^{\ell, r}$ on the $S_{n+1}^{\ell, r}$.
- Conjecture: the random variable will converge to a Gaussian distribution.

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Bounded Deviated Permutation

Definition

A permutation $\pi = \pi_1\pi_2\cdots\pi_{n+1} \in S_{n+1}$ is bounded deviated within (l, r) if, for $i \geq 2$, the value k can be assigned to π_i only if at least one of the values in $(k - l, k + r)$ has appeared among $\pi_1, \pi_2, \dots, \pi_{i-1}$, or equivalently,

$$\min\{\pi_1, \pi_2, \dots, \pi_{i-1}\} - l \leq \pi_i \leq \max\{\pi_1, \pi_2, \dots, \pi_{i-1}\} + r$$

for all $i \geq 2$.

- For example, 3425716 is bounded deviated within $(1, 2)$, but 4523617 is not.
- Notation: $S_{n+1}^{l,r}$ be the set of (l, r) -bounded deviated permutations.

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Let $\pi = \pi_1\pi_2\cdots\pi_{n+1} \in S_{n+1}$. The upward subsequence (resp. downward subsequence) of π is the subsequence π^+ (resp. π^-) of π which consists of all numbers that are larger (resp. smaller) than π_1 .

- Define the reduced word of π^+ to be the word $red(\pi^+)$ obtained by subtracting π_1 from each number of π^+ , whereas the reduced word of π^- to be the word $red(\pi^-)$ obtained by subtracting each number from π_1 .
- For example, let $\pi = 3425716 \in S_7$, then $\pi^+ = 4576$, $red(\pi^+) = 1243$, $\pi^- = 21$, and $red(\pi^-) = 12$.

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Bounded Deviated Permutation

Definition

For $r, k \in \mathbb{N}$, a plus- r word in S_{n+1} is a permutation $\pi = \pi_1\pi_2 \cdots \pi_{n+1} \in S_{n+1}$ such that $\pi_1 \leq r$, and $\pi_i \leq \max\{\pi_1, \pi_2, \dots, \pi_{i-1}\} + r$ for all $i \geq 1$. The set of all such words is denoted by β_{n+1}^r . The collection of all plus- r words regardless of their lengths is denoted $\beta^r = \bigcup_{k=0}^{\infty} \beta_k^r$.

- Let $l, r, n \in \mathbb{N}$. Then $\pi \in S_{n+1}^{l,r}$ if and only if $(\text{red}(\pi^-), \text{red}(\pi^+)) \in \beta_{\pi_1-1}^l \times \beta_{n+1-\pi_1}^r$.
- For example, $\pi = 3425716 \in S_7^{1,2}$ if and only if $(\text{red}(\pi^-), \text{red}(\pi^+)) = (12, 1243) \in \beta_{3-1}^1 \times \beta_{7-3}^2$.

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Bounded Deviated Permutation

Theorem (Eu-Lin-Lo,2014)

There is a bijection between the set β_{n+1}^r of plus- r words in S_{n+1} and permutations in S_{n+1} that have only cycles of length at most r .

Corollary

A bounded deviated permutation within (l, r) can be decomposed into a pair of two sequences, such that the first of which has cycle length bounded by l and the other bounded by r .

- The enumeration of $|S_{n+1}^{l,r}|$ is

$$|S_{n+1}^{l,r}| = \sum_{j=1}^{n+1} \binom{n}{j-1} \cdot |\beta_j^l| \cdot |\beta_{n+1-j}^r|.$$

Bounded Deviated Permutation

- The EGF of the numbers of permutations, all of whose cycles have lengths at most r is known to be

$$S_r(z) = \exp\left(z + \frac{z^2}{2} + \cdots + \frac{z^r}{r}\right),$$

hence it is also the EGF for $|\beta_{n+1}^r|$.

Theorem

The EGF of $|S_{n+1}^{l,r}|$ is

$$\begin{aligned} S^{l,r}(z) &= \sum_{n \geq 0} |S_{n+1}^{l,r}| \frac{z^{n+1}}{n!} \\ &= \exp\left(z + \frac{z^2}{2} + \cdots + \frac{z^l}{l}\right) \exp\left(z + \frac{z^2}{2} + \cdots + \frac{z^r}{r}\right) \\ &= S_l(z) \cdot S_r(z). \end{aligned}$$

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Random Variable

- Assume the permutation $S_{n+1}^{l,r}$ are uniformly distributed.
- Define the random variable X_n on the set of all (l, r) -bounded deviated permutations $S_{n+1}^{l,r}$ by $X_n = k$ if $\pi_1 = k + 1$ for $\pi = \pi_1\pi_2 \cdots \pi_{n+1} \in S_{n+1}^{l,r}$.
- The probability function:
$$P(X_n) = \frac{|\{\pi \in S_{n+1}^{l,r} | \pi_1 = k+1\}|}{|S_{n+1}^{l,r}|}.$$

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- The probability function:
$$P(X_n) = \frac{|\{\pi \in S_{n+1}^{l,r} | \pi_1 = k+1\}|}{|S_{n+1}^{l,r}|}.$$

- Set

$$\lambda_{n,k} = \left| \left\{ \pi \in S_{n+1}^{l,r} \mid \pi_1 = k + 1 \right\} \right|,$$

then

$$\lambda_{n,k} = \binom{n}{k} a_k b_{n+1-k}, \quad 0 \leq k \leq n,$$

where (a_n) and (b_n) are the counting sequences for β^l, β^r , respectively.

Random Variable

- Define a bivariate generating function (BGF)

$$\begin{aligned} A(z, u) &= \sum_{n=0}^{\infty} \sum_{k=0}^n \lambda_{n,k} u^k \frac{z^n}{n!} = \sum_{n=0}^{\infty} \sum_{k=0}^n \binom{n}{k} a_k b_{n-k} u^k \frac{z^n}{n!} \\ &= \sum_{n=0}^{\infty} \sum_{i+j=n} a_i \frac{u^i z^i}{i!} b_j \frac{z^j}{j!} \\ &= \exp \left((zu) + \frac{(zu)^2}{2} + \dots + \frac{(zu)^l}{l} \right) \\ &\quad \cdot \exp \left(z + \frac{z^2}{2} + \dots + \frac{z^r}{r} \right), \end{aligned}$$

- When $u = 1$, we get

$$A(z, 1) = \sum_{n=0}^{\infty} \left| S_{n+1}^{l,r} \right| \frac{z^n}{n!} = S^{l,r}(z),$$

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$$A(z, 1) = \sum_{n=0}^{\infty} \left| S_{n+1}^{l,r} \right| \frac{z^n}{n!} = S^{l,r}(z),$$

- The mean value and variance can be computed as

$$\mu_n = \frac{[z^n] \frac{\partial}{\partial u} A(z, u)|_{u=1}}{[z^n] A(z, 1)}$$

and

$$\sigma_n^2 = \frac{[z^n] \frac{\partial^2}{\partial u^2} A(z, u)|_{u=1}}{[z^n] A(z, 1)} + \frac{\frac{\partial}{\partial u} A(z, u)|_{u=1}}{[z^n] A(z, 1)} - \left(\frac{[z^n] \frac{\partial}{\partial u} A(z, u)|_{u=1}}{[z^n] A(z, 1)} \right)^2.$$

Generalized Quasi-powers Theorem

Theorem (Generalized Quasi-powers Theorem)

Assume that, for u in a fixed neighbourhood Ω of 1, the generating function $p_n(u)$ of a non-negative discrete random variable (supported by $\mathbb{Z}_{\geq 0}$) X_n admits a representation of the form

$$p_n(u) = \exp(h_n(u))(1 + o(1)),$$

uniformly with respect to u , where each $h_n(u)$ is analytic in Ω . Assume also the conditions,

$$h'_n(1) + h''_n(1) \rightarrow \infty \quad \text{and} \quad \frac{h'''_n(u)}{(h'_n(1) + h''_n(1))^{\frac{3}{2}}} \rightarrow 0,$$

uniformly for $u \in \Omega$. (to be continued...)

Generalized Quasi-powers Theorem

Theorem

(be continued)

Then, the random variable

$$X_n^* = \frac{X_n - h'_n(1)}{(h'_n(1) + h''_n(1))^{\frac{1}{2}}}$$

converges in distribution to a Gaussian with mean 0 and variance 1.

- Note that

$$\begin{aligned}\mu_n &\sim h'_n(1), \\ \sigma_n^2 &\sim h'_n(1) + h''_n(1)\end{aligned}$$

Generalized Quasi-powers Theorem

- Considering the exact form $p_n(u) = \exp(h_n(u))$, we have

$$p'_n(u) = h'_n(u) \exp(h_n(u)),$$

$$p''_n(u) = h''_n(u) \exp(h_n(u)) + (h'_n(u))^2 \exp(h_n(u)),$$

$$p'''_n(u) = h'''_n(u) \exp(h_n(u)) + 3h'_n(u) h''_n(u) \exp(h_n(u)) \\ + (h'_n(u))^3 \exp(h_n(u)).$$

- Hence

$$h'_n(1) + h''_n(1) = \frac{p'_n(1)}{p_n(1)} + \frac{p''_n(1)}{p_n(1)} - \left(\frac{p'_n(1)}{p_n(1)} \right)^2$$

and

$$h'''_n(1) = \frac{p'''_n(1)}{p_n(1)} - 3 \left(\frac{p'_n(1)p''_n(1)}{(p_n(1))^2} \right) + 2 \left(\frac{p'_n(1)}{p_n(1)} \right)^3.$$

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- First we set the BGF of the bounded deviated permutation $S_{n+1}^{1,2}$:

$$A(z, u) = \exp\left((1 + u)z + \frac{z^2}{2}\right),$$

- The expected value μ_n can be computed as

$$\begin{aligned}\mu_n &= \frac{[z^n] \frac{\partial}{\partial u} A(z, u)|_{u=1}}{[z^n] A(z, 1)} \\ &= \frac{[z^n] z \exp\left(2z + \frac{z^2}{2}\right)}{[z^n] \exp\left(2z + \frac{z^2}{2}\right)} \\ &= \frac{[z^{n-1}] \exp\left(2z + \frac{z^2}{2}\right)}{[z^n] \exp\left(2z + \frac{z^2}{2}\right)}\end{aligned}$$

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Main theorem

- We can compute the asymptotic formula for the coefficients of the formula $\exp(2z + \frac{z^2}{2})$.
- But $\lambda_{n,k} = \binom{n}{k} a_k b_{n-k}$ has no close form, we calculate its asymptotic, and we use the following theorem:

Theorem (Hayman formula)

Let $f(z) = \sum a_n z^n$ be an admissible function. Let r_n be the positive real root of the equation $a(r_n) = n$, for each $n = 1, 2, \dots$, where $a(r_n)$ is given by $a(r) = r \frac{f'(r)}{f(r)}$. Then

$$a_n \sim \frac{f(r_n)}{r_n^n \sqrt{2\pi b(r_n)}} \text{ as } n \rightarrow \infty,$$

where $b(r_n)$ is given by $b(r) = r a'(r)$.

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$$f(z) = \exp\left(2z + \frac{z^2}{2}\right),$$

- then we have

$$f'(z) = (2 + z) \exp\left(2z + \frac{z^2}{2}\right),$$

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- Now we solve the equation

$$2r_n + r_n^2 = n \quad .$$

- We get

$$\begin{aligned} r_n &= -1 + \sqrt{1+n} = -1 + \sqrt{n} \sqrt{1 + \frac{1}{n}} \\ &= \sqrt{n} \left(1 + \frac{1}{2n} - \frac{1}{8n^2} + \dots \right) - 1 \\ &= \sqrt{n} - 1 + \frac{1}{2\sqrt{n}} - \frac{1}{8n^{3/2}} + \dots \end{aligned}$$

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- Hence

$$\begin{aligned}r_n^n &= \left(\sqrt{n} - 1 + \frac{1}{2\sqrt{n}} - \frac{1}{8n^{3/2}} + \dots \right)^n \\&= (\sqrt{n})^n \left(1 - \frac{1}{\sqrt{n}} + \frac{1}{2n} - \dots \right)^n \\&= (n)^{\frac{n}{2}} \exp \left\{ n \log \left(1 - \frac{1}{\sqrt{n}} + \frac{1}{2n} - \dots \right) \right\} \\&= (n)^{\frac{n}{2}} \exp \left(n \left(-\frac{1}{\sqrt{n}} + \frac{1}{2n} \right) - \frac{1}{2} \left(-\frac{1}{\sqrt{n}} + \frac{1}{n} \right)^2 \right. \\&\quad \left. + O \left(n^{-\frac{3}{2}} \right) \right) \\&\sim (n)^{\frac{n}{2}} \exp \left(-\sqrt{n} \right)\end{aligned}$$

Hayman formula

- Note that

$$a'(r) = 2 + 2r.$$

- Also

$$b(r) = ra'(r) = 2r + 2r^2,$$

hence

$$b(r_n) = 2r_n + 2r_n^2 \sim 2r_n^2 \sim 2n \quad (n \rightarrow \infty).$$

- In the meantime,

$$\begin{aligned} f(r_n) &= \exp\left(2r_n + \frac{r_n^2}{2}\right) = \exp\left(\frac{n}{2} + r_n\right) \\ &= \exp\left(\frac{n}{2}\right) \exp\left(\sqrt{n} - 1 + \frac{1}{2\sqrt{n}} - \frac{1}{8n^{3/2}} + \dots\right) \\ &\sim \exp\left(\frac{n}{2} + \sqrt{n} - 1 + O\left(n^{-\frac{1}{2}}\right)\right) \end{aligned}$$

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- Finally, by Hayman formula,

$$\begin{aligned} a_n &\sim \frac{f(r_n)}{r_n^n \sqrt{2\pi b(r_n)}} \\ &= \left(\frac{e}{n}\right)^{\frac{n}{2}} \frac{\exp(2\sqrt{n}-1)}{\sqrt{4n\pi}} \left(1 + O(n^{-\frac{1}{2}})\right) \end{aligned}$$

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- So we have the expected value

$$\mu_n = \frac{[z^{n-1}] \exp(2z + \frac{z^2}{2})}{[z^n] \exp(2z + \frac{z^2}{2})} = \sqrt{n} - 1 + O\left(n^{-\frac{1}{2}}\right),$$

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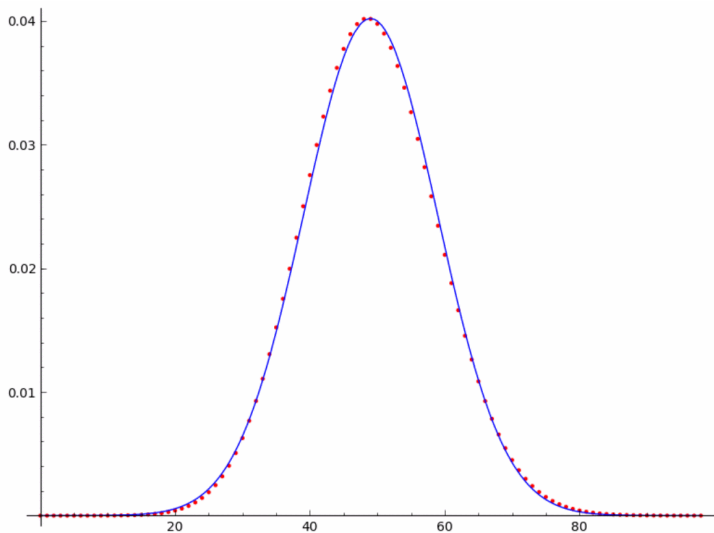
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- Let

$$f(z) = \exp\left(2z + \frac{z^2}{2} + \frac{z^3}{3}\right),$$

- then

$$f'(z) = (2 + z + z^2) \exp\left(2z + \frac{z^2}{2} + \frac{z^3}{3}\right),$$

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$$a(r) = r \frac{f'(r)}{f(r)} = 2r + r^2 + r^3.$$

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Hayman formula

- Here we solve the equation

$$2r_n + r_n^2 + r_n^3 = n \quad .$$

- Now we face a problem : solve the equation $a(r_n) = n$.
- It always in the form $C_1z^1 + C_2z^2 + \dots + C_kz^k = n$, but we have no formula to solve it.
- So we transform the equation to the specific form $u = t\Phi(u)$, by proper substitution.
- By the Lagrange inversion formula, we will get the positive solution r_n . (may be asymptotic)

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Solve Equation

- Let

$$u = (r_n)^{-1},$$

then

$$2u^{-1} + u^{-2} + u^{-3} = n$$

implies

$$2u^2 + u + 1 = u^3 n.$$

Solve Equation

- Thus

$$(2u^2 + u + 1)^{\frac{1}{3}} = un^{\frac{1}{3}} \quad (*)$$

- Let

$$t = n^{\frac{-1}{3}}, \Phi(u) = (2u^2 + u + 1)^{\frac{1}{3}}.$$

- Note that

$$\Phi(0) = 1$$

- (*) becomes

$$u(t) = t\Phi(u(t)).$$

- Now we can applied the Lagrange inversion formula

$$[t^n] u(t) = \frac{1}{n} [t^{n-1}] (\Phi(t))^n \quad (n \geq 1)$$

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Lagrange Inversion Formula

- Compute

$$\Phi(t) = (2t^2 + t + 1)^{\frac{1}{3}} = 1 + \frac{t}{3} + \frac{5t^2}{9} + \dots$$

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Lagrange Inversion Formula

- Finally, we get

$$\begin{aligned}r_n &= u^{-1} \\&= t^{-1} \left(1 + \frac{t}{3} + \frac{2t^2}{3} + \frac{17t^3}{81} + \dots \right)^{-1} \\&= t^{-1} \left(1 - \frac{t}{3} - \frac{5t^2}{9} + \frac{16t^3}{81} + \dots \right) \\&= t^{-1} - \frac{1}{3} - \frac{5t}{9} + \frac{16t^2}{81} + \dots \\&= n^{\frac{1}{3}} - \frac{1}{3} - \frac{5}{9}n^{\frac{-1}{3}} + \frac{16}{81}n^{\frac{-2}{3}} + O(n^{-1})\end{aligned}$$

- By computer, the asymptotic formula for the coefficients of the formula $\exp(2z + \frac{z^2}{2} + \frac{z^3}{3})$ can be computed:

$$\begin{aligned} & [z^n] \exp\left(2z + \frac{z^2}{2} + \frac{z^3}{3}\right) \\ & \sim \frac{f(r_n)}{r_n^n \sqrt{2\pi b(r_n)}} \\ & \sim \left(\frac{e}{n}\right)^{\frac{n}{3}} \frac{e^{\frac{1}{2}n^{\frac{2}{3}} + \frac{11}{6}n^{\frac{1}{3}} - \frac{11}{18}}}{\sqrt{6n\pi}} \left(1 - \frac{95}{324n^{\frac{1}{3}}} + O(n^{-1})\right) \end{aligned}$$

$(l,r)=(1,3)$

- Thus

$$\mu_n = \frac{[z^{n-1}] \exp(2z + \frac{z^2}{2} + \frac{z^3}{3})}{[z^n] \exp(2z + \frac{z^2}{2} + \frac{z^3}{3})} = n^{\frac{1}{3}} - \frac{1}{3} + O\left(n^{-\frac{1}{3}}\right).$$

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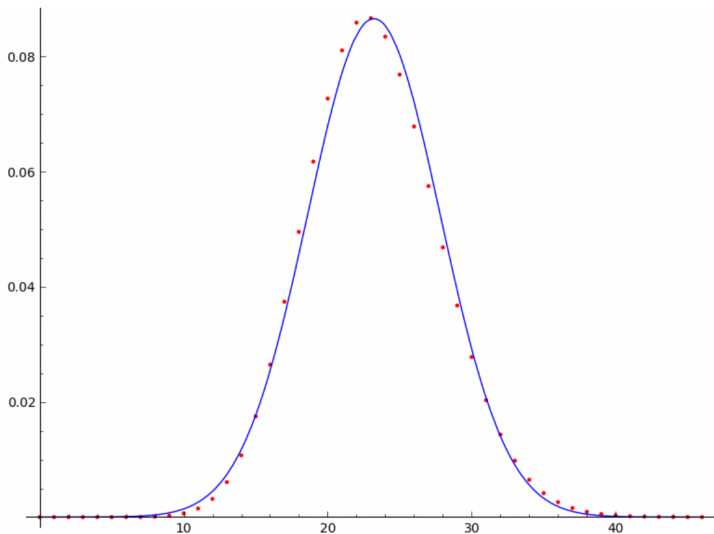
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$$[z^n] \exp(2z + z^2) \sim \left(\frac{2e}{n}\right)^{\frac{n}{2}} \frac{\exp(\sqrt{2n} - \frac{1}{2})}{\sqrt{4n\pi}} \left(1 + \frac{\sqrt{2}}{3\sqrt{n}} + O(n^{-1})\right)$$

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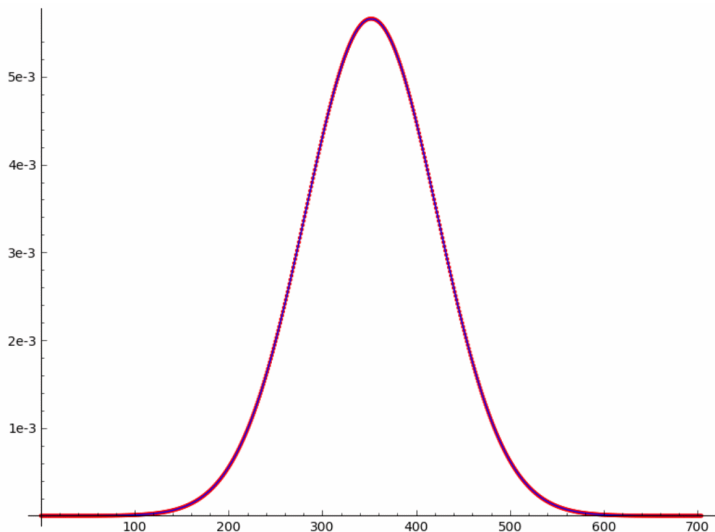
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- figure 3 : $(, r) = (2, 2)$, $n = 10000$, centered at its peak.



Conclusion and Further Discussion

- General cases, such like $S_{n+1}^{2,3}$.
- The distribution of the second statistics, the third statistics, etc.

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Reference

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Thank you for your attention!!